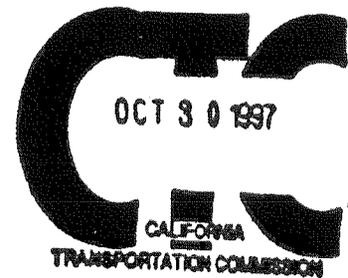


ATTACHMENT I



**CALIFORNIA TRANSPORTATION COMMISSION**

**Commission Project Allocation Approval  
Mass Transportation Bond and TCI Projects**

**Resolution No. MFP-97-16**

- 1.1 WHEREAS, the California Transportation Commission (Commission) has adopted an annual Transit Capital Improvement (TCI) Program for mass transportation capital purposes, and the electorate enacted both Proposition 108, the Passenger Rail and Clean Air Bond Act of 1990, and Proposition 116, the Clean Air and Transportation Improvement Bond Act of 1990, in the June 1990 election, authorizing the sale of general obligation rail bonds for rail transit purposes; and
- 1.2 WHEREAS, the SANTA BARBARA RAIL STATIONS IMPROVEMENTS (the Project), further detailed in Attachment A, as component phases or in its entirety, appears on the necessary State capital projects funding list(s) and is entitled to participate in this allocation; and
- 1.3 WHEREAS, the CITY OF SANTA BARBARA (the Recipient) is committed to providing any required local matching funds and to fully fund implementation of the Project in compliance with grant or bond funding requirements or, if Recipient meets that criteria, in compliance with the conditions of Commission Resolution G-92-14, "Guidelines for the Deferral of the Commission's Proportional Expenditure of State Funds Policy"; and
- 1.4 WHEREAS, the Commission has established a "Hazardous Waste Identification and Clean-up Policy" (Resolution G-91-2) which requires the Recipient(s) to perform, with diligence, the process of identification and remediation of any hazardous waste in the right-of-way, easements and properties.
- 2.1 NOW THEREFORE BE IT RESOLVED, that a total of \$398,000 in TRANSPORTATION PLANNING AND DEVELOPMENT ACCOUNT (TPDA) or STATE HIGHWAY ACCOUNT (SHA) funds (as directed by the Commission at the October 1997 Meeting to preserve the TPDA) programmed in the 1997-98 TRANSIT CAPITAL IMPROVEMENT (TCI) PROGRAM be allocated to the Recipient for the Project, as detailed in Attachment A; and
- 2.2 BE IT FURTHER RESOLVED, that the transfer of funds for the Project shall be governed by a fund transfer agreement, and subsequent amendments to the same if required, executed between the Recipient and the Department of Transportation (Department); and

- 2.3 BE IT FURTHER RESOLVED, that the Recipient shall provide the Department with an updated expenditure plan on a quarterly basis by category including any proposed changes for the balance of all funded Project allocations commencing with the first quarter; and
- 2.4 BE IT FURTHER RESOLVED, that this Commission shall be entitled to a then present value refund, or credit, at State's option, equivalent to the proportionate funding participation by the State towards the Project in the event that Recipient, or successor public entities, fail or cease to utilize the Project property for the intended public passenger rail purposes or sells or transfers title to the Project. The credit due the Commission in each instance, will be measured by the ratio of State and other public funding to that provided from Recipient. That ratio will be applied to the fair market value of the Project property; and
- 2.5 BE IT FURTHER RESOLVED, that an allocation for the Project is subject to the following conditions and assurances:
- A. Reimbursements of eligible costs are subject to the terms and conditions of the executed fund transfer agreement;
  - B. Pursuant to the Commission's Financial Guidelines, the grant recipient must complete the work to be reimbursed and the actual reimbursement by June 30, 2000, unless the Commission authorizes a waiver that extends, if permitted by statute, the period of availability of the funds.

Attachment

2.6 - Mass Transportation Financial Matters

<p>7 MFP-97-14 \$146,000 City of El Paso de Robles 05-San Luis Obispo</p>	<p>Paso Robles Multimodal Facility-Phase III (San Diegan Intercity Rail Corridor)  Construction of additional bus bays, parking spaces and lockers</p>	<p>(05-1754) TCI (1997-98)  \$146,000</p>	<p>TPDA 1997-98 101-046 TCILJ 30.20.20  \$146,000</p>
<p>8 MFP-97-15 \$250,000 Santa Cruz Metropolitan Transit District (SCMTD) 05-Santa Cruz</p>	<p>Santa Cruz Bus Rehabilitation (SCMTD Bus Service)  Various rehabilitation activities to 10 transit buses in the SCMTD fleet to extend their useful lives.</p>	<p>(05-2009) TCI (1997-98)  \$250,000</p>	<p>TPDA 1997-98 101-046 TCILR 30.20.20  \$250,000</p>
<p>9 MFP-97-16 \$398,000 City of Santa Barbara 05-Santa Barbara</p>	<p>Santa Barbara Rail Station Improvements (San Diegan Intercity Rail Corridor)  Design, property acquisition, and restoration of the historic Santa Barbara Rail Station.</p>	<p>(05-1756) TCI (1997-98)  \$398,000</p>	<p>TPDA <del>SHA</del> <del>1997-98</del> <u>1995-96</u> <del>101-046</del> <u>301.042</u> 30.20.20  \$398,000</p>
<p>10 MFP-97-17 \$1,160,000 City of Fresno 06-Fresno</p>	<p>Fresno Amtrak Station-Phase III (San Joaquin Intercity Rail Corridor)  Construct new station facility including improved passenger boarding facilities and track improvements.</p>	<p>(06-9251) TCI (1997-98)  \$1,160,000</p>	<p>TPDA 1997-98 101-046 TCILJ 30.20.20  \$1,160,000</p>
<p>11 MFP-97-18 \$47,000 Los Angeles County Metropolitan Transportation Authority (LACMTA) on behalf of the City of Monterey Park 07-Los Angeles</p>	<p>Bus Rehabilitation and Upgrades (City Bus System)  Rebuild engines and transmissions on three buses, upgrade signage, and add new safety devices.</p>	<p>(07-9756) TCI (1997-98)  \$47,000</p>	<p>TPDA 1997-98 101-046 TCILR 30.20.20  \$47,000</p>
<p>12 MFP-97-19 \$250,000 Los Angeles County Metropolitan Transportation Authority (LACMTA) on behalf of the County of Los Angeles 07-Los Angeles</p>	<p>Vincent Hill/Acton Grade Metrolink Station (Metrolink)  Design improvements including traffic signals, paving, and lighting of the Vincent Hill/Acton Grade Metrolink Station near City of Palmdale.  Partial Allocation</p>	<p>(07-9744) TCI (1996-97) \$241,000  (07-9747A) TCI (1997-98) \$1,936,000 \$2,177,000</p>	<p>TPDA 1996-97 101-046 TCILR 30.20.20  TPDA 1997-98 101-046 TCILR 30.20.20  \$241,000  \$9,000 (Partial)  \$250,000</p>

**SCOPE OF WORK**

Project funding is summarized as follows. Future CITY invoices will separate STATE and CITY costs in the same ratio as the CTC allocation, 62 and 38 percent respectively.

	<u>STATE</u>	<u>CITY</u>	<u>TOTAL</u>
Budgeted	\$5,462,505	\$3,375,486	\$8,837,991
Percentage Share	62 %	38 %	100 %

Recipient Name: City of Santa Barbara Redevelopment Agency  
 Project Title: Santa Barbara Rail Station  
 CTC Resolution #: MFP 97-16  
 Resolution Date: October 30, 1997  
 Allocation Amount: \$398,000  
 Fund Source(s): Transit Capital Improvement (TCI)  
 Fund Expiration Date: June 30, 2000

### SCOPE OF WORK APPROVAL

The Department of Transportation hereby certifies the attached "Scope of Work" document has been submitted by the recipient named above, and its tasks descriptions to be accomplished with the allocated funds is complete and in conformance with the CTC allocating resolution specified above.

*Patrick Merrill*  
 -----  
 PATRICK MERRILL, Manager  
 San Diegan Corridor

*11/20/97*  
 -----  
 Date

FUND SOURCE: TCI

SOURCE		CHARGE		EXP ALTH		SPECIAL DESIGNATION		OBJECT	AMOUNT	DR CR	FISCAL YEAR	ENCUMBRANCE DOCUMENT NUMBER	
DIST	UNIT	DIST	UNIT	CEN LED	SUB ACCT	SUB JOB NO	R/W PARCEL NO						
7	5	8	0	6				7	0	4	9	5398,000   00	96 MTR 2919 TC
				R	2	9	0	T	C				
I hereby certify upon my own personal knowledge that budgeted funds are available for the period and purpose of the expenditure stated above.								SIGNATURE OF ACCOUNTING OFFICER		DATE			
ITEM		CHAPTER		STATUTES		FISCAL YEAR		<i>Nurbelth C Fink</i>			<i>12/4/99</i>		
101-042		303		1997 1995		1997 95/96							

FUND SOURCE:

SOURCE		CHARGE		EXP ALTH		SPECIAL DESIGNATION		OBJECT	AMOUNT	DR CR	FISCAL YEAR	ENCUMBRANCE DOCUMENT NUMBER
DIST	UNIT	DIST	UNIT	CEN LED	SUB ACCT	SUB JOB NO	R/W PARCEL NO					
I hereby certify upon my own personal knowledge that budgeted funds are available for the period and purpose of the expenditure stated above.								SIGNATURE OF ACCOUNTING OFFICER		DATE		
ITEM		CHAPTER		STATUTES		FISCAL YEAR						

FUND SOURCE:

SOURCE		CHARGE		EXP ALTH		SPECIAL DESIGNATION		OBJECT	AMOUNT	DR CR	FISCAL YEAR	ENCUMBRANCE DOCUMENT NUMBER
DIST	UNIT	DIST	UNIT	CEN LED	SUB ACCT	SUB JOB NO	R/W PARCEL NO					
I hereby certify upon my own personal knowledge that budgeted funds are available for the period and purpose of the expenditure stated above.								SIGNATURE OF ACCOUNTING OFFICER		DATE		
ITEM		CHAPTER		STATUTES		FISCAL YEAR						

AMOUNT ENCUMBERED BY THIS DOCUMENT \$ 398,000  
 PRIOR AMOUNT ENCUMBERED \$ 5,064,505  
 TOTAL AMOUNT ENCUMBERED TO DATE \$ 5,462,505