

RESOLUTION NO. 14-068

A RESOLUTION OF THE COUNCIL OF THE CITY OF SANTA BARBARA ADOPTING THE CONFLICT OF INTEREST CODE OF THE CITY OF SANTA BARBARA TO INCORPORATE BY REFERENCE THE FAIR POLITICAL PRACTICES COMMISSION'S MODEL CODE AND RESCINDING RESOLUTION NO. 12-078

WHEREAS, the Political Reform Act, Government Code Section 81000, et seq., requires state and local government agencies to adopt and promulgate conflict of interest codes; and

WHEREAS, the Fair Political Practices Commission has adopted a regulation, Title 2, Division 6 of the California Code of Regulations, Section 18730, which contains the terms of a standard Conflict of Interest Code, which may be amended by the Fair Political Practices Commission after public notice and hearings to conform to amendments in the Political Reform Act, and which can be incorporated by reference.

NOW, THEREFORE, BE IT RESOLVED BY THE COUNCIL OF THE CITY OF SANTA BARBARA AS FOLLOWS:

SECTION 1. The terms of Title 2, Division 6 of the California Code of Regulations, Section 18730, and any amendments to it duly adopted by the Fair Political Practices Commission, incorporated herein by reference, along with the attached Exhibit "A" in which members and employees are designated, and Exhibit "B" in which disclosure categories are set forth, constitute the Conflict of Interest Code of the City of Santa Barbara.

SECTION 2. The City Clerk is the filing official for the Mayor, Councilmembers, City Administrator, City Attorney, and Planning Commissioners. For the persons holding these positions, the City Clerk accepts the filing, retains a copy, and forwards the original to the Fair Political Practices Commission in Sacramento. For all other persons holding designated positions, the City Clerk is the filing officer and retains the statements. The City Clerk will make the statements available for public inspection and reproduction (Government Code Section 81008).

SECTION 3. This Resolution shall take effect January 1, 2015, and rescinds Resolution No. 12-078.

**EXHIBIT A  
RESOLUTION NO.**

**LIST OF DESIGNATED POSITIONS  
CONFLICT OF INTEREST CODE**

<b><u>POSITION</u></b>	<b><u>DISCLOSURE CATEGORY</u></b>
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Councilmembers	As required by State Law
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City Administrator/City Clerk/City Treasurer/	“
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City Attorney	“
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Planning Commission Members	“
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Acting Positions	Subject to the same disclosure requirements as the position in which the person is “acting.”
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Consultant *	1, 2
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It has been determined that the following positions manage public investments and will file the Form 700 Statement of Economic interests with the City Clerk, who will retain the statements:

Finance Director	As required by State Law
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Fire and Police Pension Commission Members	“
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\* Consultants who participate in the making of decisions on behalf of the City shall be included in the list of designated employees and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitation: The City Administrator may determine in writing that a particular consultant, although a “designated position,” is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements described in this section. Such written determination shall include a description of the consultant’s duties and, based upon that description, a statement of the extent of the disclosure requirements. The City Administrator’s determination is a public record and shall be retained for public inspection in the same manner and location as this Conflict of Interest Code.

**DEPARTMENT**

**DISCLOSURE CATEGORY**

**Administrative Services**

**Staff Positions:**

Administrative Services Director	1, 2
City Clerk Services Manager	1, 2
Human Resources Manager	1, 2
Information Systems Manager	1, 2
Information Systems Supervisor	1, 2
Senior Human Resources Analyst	1, 2

**Members of the following Advisory Group:**

Board of Civil Service Commissioners	1, 2
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**Airport**

**Staff Positions:**

Airport Director	1, 2
Airport Maintenance Superintendent	6
Airport Maintenance Supervisor	6
Airport Marketing Coordinator	6
Airport Operations Manager	1, 2
Airport Operations Supervisor	1, 2
Airport Patrol Supervisor	6
Assistant Airport Director	1, 2

**DEPARTMENT**

**DISCLOSURE CATEGORY**

**Airport (Continued)**

Custodial Supervisor	6
Project Planner	3, 4, 6
Senior Property Management Specialist	2, 4, 6

**Members of the following Advisory Group:**

Airport Commission	1, 2
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**City Administrator**

**Staff Positions:**

Administrative Analyst	1, 2
Assistant City Administrator	1, 2
Assistant to the City Administrator	1, 2
Employee Relations Manager	1, 2

**Members of the following Advisory Group:**

Arts Advisory Committee	1
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**City Attorney**

**Staff Positions:**

Assistant City Attorney I, II, III	1, 2
Deputy City Attorney	1, 2

**DEPARTMENT**

**DISCLOSURE CATEGORY**

**Community Development**

**Staff Positions:**

Assistant Planner	3, 4, 6
Associate Planner	3, 4, 6
Building Inspection/Plan Check Supervisor	1, 2
Building Inspector	1, 2
Building Inspector Aide	1, 2
Chief Building Official	1, 2
City Planner	1, 2
Community Development Business Manager	1, 2
Community Development Director	1, 2
Community Development Programs Specialist	3, 4, 5
Community Development Programs Supervisor II	3, 4, 5
Planning Technician I/II	3, 4, 6
Plans Examiner	1, 2
Principal Planner	1, 2
Project Planner	1, 2
Senior Building Inspector	1, 2
Senior Plan Check Engineer	1, 2

**DEPARTMENT**

**DISCLOSURE CATEGORY**

**Community Development (Continued)**

**Staff Positions:**

Senior Planner I, II	1, 2
Senior Plans Examiner	1, 2
Senior Rental Housing Mediation Specialist	2, 5

**Members of the following Advisory Groups:**

Architectural Board of Review	1, 2
Building and Fire Code Board of Appeals	1, 2
Community Development and Human Services Committee	1, 2
Community Events and Festivals Committee	1, 2
Historic Landmarks Commission	1, 2
Sign Committee	1, 2
Single Family Design Board	1, 2

**Finance**

**Staff Positions:**

Accounting Manager	1
Billing Supervisor	1
Budget Manager	1
Buyer	1
Environmental Services Manager	1

**DEPARTMENT**

**DISCLOSURE CATEGORY**

**Finance (Continued)**

Finance Supervisor	1
General Services Manager	1
Payroll Supervisor	1
Purchasing Supervisor	1
Risk Manager	1
Treasury Manager	1

**Members of the following Advisory Groups:**

Living Wage Advisory Committee	1, 2
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**Fire**

**Staff Positions:**

Administrative Services Manager	2, 3, 6
Emergency Services Manager	1, 2, 5, 6
Fire Battalion Chief	2, 3, 6
Fire Chief	1, 2
Fire Inspector I, II, III	2, 3, 6
Fire Operations Division Chief	1, 2
Fire Prevention Division Chief	1, 2

**Library**

**Staff Positions:**

Library Director	1, 2
Library Services Manager	6

**DEPARTMENT**

**DISCLOSURE CATEGORY**

**Parks and Recreation**

**Staff Positions:**

Administrative Analyst I, II	3, 6
Assistant Parks and Recreation Director	1, 2
Associate Planner	3, 4, 6
Creeks Restoration/Clean Water Manager	6
Creeks Supervisor	6
Neighborhood & Outreach Services Supervisor I	6
Parks and Recreation Director	1, 2
Parks Manager	1, 2
Recreation Programs Manager	6
Senior Neighborhood & Outreach Services Supervisor	6
Senior Recreation Supervisor	6
Urban Forest Superintendent	6
Water Resources Specialist	6

**Members of the following Advisory Groups:**

Creeks Restoration and Water Quality Improvement Program Citizen Advisory Committee	1, 2
Parks and Recreation Commission	1, 2



**DEPARTMENT**

**DISCLOSURE CATEGORY**

**Police**

**Staff Positions:**

Police Chief	1, 2
Deputy Police Chief	1, 2
Police Business Manager	3, 6
Police Captain	3, 6
Police Information Technology Manager	6
Police Lieutenant	3, 6

**Members of the following Advisory Group:**

Fire and Police Commission	1, 2
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**Public Works**

**Staff Positions:**

Administrative Analyst	3, 6
Assistant Public Works Director/ City Engineer	1, 2
Assistant Transportation Planner	3, 4, 6
Associate Transportation Planner	3, 4, 6
Custodial Supervisor	6
Facilities and Energy Manager	3, 6
Facilities Maintenance Superintendent	6
Facilities Maintenance Supervisor	6
Maintenance Supervisor I/II	6
Parking/TMP Superintendent	3, 4

**DEPARTMENT****DISCLOSURE CATEGORY****Public Works (Continued)****Staff Positions:**

Principal Engineer	1, 2
Principal Transportation Planner	1, 2, 3, 4, 6
Project Engineer I, II	3, 4, 6
Project Manager II	3, 4, 6
Project Planner	1, 2
Public Works Business Manager	3, 4, 6
Public Works Director	1, 2
Public Works Inspector II	3, 4
Senior Engineering Technician (Land Development)	3, 4, 6
Senior Public Works Inspector	3, 4
Senior Real Property Agent	2, 4, 6
Streets Manager	3, 4, 6
Supervising Engineer	3, 4, 6
Supervising Transportation Engineer	3, 4, 6
Supervising Transportation Planner	1, 2
Transportation Manager	3, 4, 6
Wastewater Collection System Superintendent	2, 4, 6
Wastewater System Manager	3, 6
Wastewater Treatment Superintendent	2, 4, 6
Water Distribution Superintendent	2, 4, 6

**DEPARTMENT**

**DISCLOSURE CATEGORY**

**Public Works (Continued)**

Water Resources Manager	2, 4, 6
Water Resources Specialist	6
Water Resources Supervisor	2, 4, 6
Water System Manager	2, 4, 6
Water Treatment Superintendent	2, 4, 6

**Members of the following Advisory Groups:**

Board of Water Commissioners	1, 2
Downtown Parking Committee	1, 2
Transportation and Circulation Committee	1, 2

**Waterfront**

**Staff Positions:**

Administrative Analyst I	3, 6
Administrative/Clerical Supervisor	3, 6
Harbor Operations Manager	3, 6
Harbor Patrol Supervisor	3, 6
Maintenance Supervisor I, II	3, 6
Property Management Specialist	2, 3, 4, 6
Waterfront Business Manager	2, 3, 4, 6
Waterfront Director/Harbormaster	1, 2
Waterfront Facilities Manager	3, 6
Waterfront Maintenance Superintendent	3, 6

**DEPARTMENT**

**DISCLOSURE CATEGORY**

**Waterfront (Continued)**

Waterfront Parking Supervisor

3, 6

**Members of the following Advisory Group:**

Harbor Commission

1, 2

**EXHIBIT B  
RESOLUTION NO.**

**CITY OF SANTA BARBARA  
DISCLOSURE CATEGORIES**

General Provisions

When a designated employee or individual is required to disclose investments, business positions and sources of income, he or she need only disclose investments in business entities and sources of income which do business in the City, plan to do business in the City or have done business in the City within the past two (2) years. In addition to other activities, a business entity is doing business within the City if it owns real property within the City. When a designated employee or individual is required to disclose real property he or she need only disclose that which is located in whole or in part within or not more than two (2) miles outside the boundaries of the City or within two (2) miles of any land owned or used by the City.

Designated employees or individuals shall disclose their financial interest pursuant to the appropriate disclosure category as indicated in Exhibit "A".

Disclosure Categories

- Category 1: All investments, business positions and sources of income. See Schedules A-1, A-2, B, C, D, and E to the Statement of Economic Interests.
- Category 2: All interests in real property. See Schedule B.
- Category 3: All investments, business positions, interests in real property and sources of income subject to the regulatory, permit or licensing authority of the department. See Schedules A-1, A-2, B, C, D, and E.
- Category 4: Investments in business entities and sources of income which engage in land development, construction or the acquisition of real property. See Schedules A-1, A-2, B, C, D, and E.
- Category 5: Investments in business entities and sources of income of the type which contracts with the City to provide services, supplies, materials, machinery or equipment to any City department. See Schedules A-1, A-2, B, C, D, and E.
- Category 6: Investments in business entities and sources of income of the type which contracts with the City to provide to the designated employee's department services, supplies, materials, machinery or equipment. See Schedules A-1, A-2, B, C, D, and E.


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STATE OF CALIFORNIA                    )  
  )  
COUNTY OF SANTA BARBARA        ) ss.  
  )  
CITY OF SANTA BARBARA            )


I HEREBY CERTIFY that the foregoing resolution was adopted by the Council of the City of Santa Barbara at a meeting held on October 21, 2014, by the following roll call vote:

- AYES:                    Councilmembers Dale Francisco, Gregg Hart, Frank Hotchkiss, Cathy Murillo, Randy Rowse, Bendy White, Mayor Helene Schneider
- NOES:                    None
- ABSENT:                 None
- ABSTENTIONS:        None

IN WITNESS WHEREOF, I have hereto set my hand and affixed the official seal of the City of Santa Barbara on October 22, 2014.

  
\_\_\_\_\_  
Gwen Peirce, CMC  
City Clerk Services Manager

I HEREBY APPROVE the foregoing resolution on October 22, 2014.

  
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Helene Schneider  
Mayor