Agenda Item No._____

File Code No. 250.02

CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: December 15, 2009

TO: Mayor and Councilmembers

FROM: Accounting Division, Finance Department

SUBJECT: Fiscal Year 2010 Interim Financial Statements For The Four Months Ended October 31, 2009

RECOMMENDATION:

That Council accept the Fiscal Year 2010 Interim Financial Statements for the Four Months Ended October 31, 2009.

DISCUSSION:

The interim financial statements for the Four Months Ended October 31, 2009 (33.3% of the fiscal year) are attached. The interim financial statements include budgetary activity in comparison to actual activity for the General Fund, Enterprise Funds, Internal Service Funds, and select Special Revenue Funds.

ATTACHMENT: Interim Financial Statements for the Four Months Ended October 31, 2009

PREPARED BY: Rudolf J. Livingston, Accounting Manager

SUBMITTED BY: Robert Samario, Interim Finance Director

APPROVED BY: City Administrator's Office

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
Summary by Fund
For the Four Months Ended October 31, 2009 (33.3% of Fiscal Year)

	Annual Budget	YTD Actual	Encum-brances	Remaining Balance	Percent of Budget
GENERAL FUND					
Revenue	104,648,627	26,135,556	-	78,513,071	25.0%
Expenditures	104,813,146	34,820,374	1,083,687	68,909,085	34.3%
Addition to / (use of) reserves	(164,519)	(8,684,818)	(1,083,687)		
WATER OPERATING FUND					
Revenue	34,188,296	13,180,422	-	21,007,873	38.6%
Expenditures	37,418,635	10,950,844	3,102,845	23,364,945	37.6%
Addition to / (use of) reserves	(3,230,339)	2,229,578	(3,102,845)		
WASTEWATER OPERATING FUND					
Revenue	14,828,850	5,192,140	-	9,636,710	35.0%
Expenditures	16,070,288	4,348,110	1,587,897	10,134,281	36.9%
Addition to / (use of) reserves	(1,241,438)	844,030	(1,587,897)		
DOWNTOWN PARKING					
Revenue	6,762,290	2,379,794	-	4,382,496	35.2%
Expenditures	8,195,457	2,533,268	608,827	5,053,363	38.3%
Addition to / (use of) reserves	(1,433,167)	(153,474)	(608,827)		
AIRPORT OPERATING FUND					
Revenue	12,440,678	4,230,609	-	8,210,069	34.0%
Expenditures	12,723,593	3,813,909	710,026	8,199,657	35.6%
Addition to / (use of) reserves	(282,915)	416,700	(710,026)		
GOLF COURSE FUND					
Revenue	2,380,438	645,376	-	1,735,062	27.1%
Expenditures	2,785,158	956,595	425,458	1,403,105	49.6%
Addition to / (use of) reserves	(404,720)	(311,220)	(425,458)		
INTRA-CITY SERVICE FUND					
Revenue	6,420,078	2,551,665	-	3,868,413	39.7%
Expenditures	6,681,905	1,576,876	655,165	4,449,864	33.4%
Addition to / (use of) reserves	(261,827)	974,790	(655,165)		
FLEET REPLACEMENT FUND					

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
Summary by Fund
For the Four Months Ended October 31, 2009 (33.3% of Fiscal Year)

	Annual Budget	YTD Actual	Encum-brances	Remaining Balance	Percent of Budget
FLEET REPLACEMENT FUND					
Revenue	1,779,868	634,923	-	1,144,945	35.7%
Expenditures	3,821,874	359,966	1,135,846	2,326,062	39.1%
Addition to / (use of) reserves	(2,042,006)	274,957	(1,135,846)		
FLEET MAINTENANCE FUND					
Revenue	2,565,663	838,554	-	1,727,109	32.7%
Expenditures	2,667,128	695,346	170,606	1,801,177	32.5%
Addition to / (use of) reserves	(101,465)	143,209	(170,606)		
SELF INSURANCE TRUST FUND					
Revenue	6,073,674	2,030,761	-	4,042,913	33.4%
Expenditures	6,219,840	1,599,298	246,570	4,373,973	29.7%
Addition to / (use of) reserves	(146,166)	431,464	(246,570)		
INFORMATION SYSTEMS ICS FUND					
Revenue	2,435,147	814,970	-	1,620,177	33.5%
Expenditures	2,630,280	698,452	468,381	1,463,447	44.4%
Addition to / (use of) reserves	(195,133)	116,518	(468,381)		
WATERFRONT FUND					
Revenue	11,522,348	4,432,646	-	7,089,702	38.5%
Expenditures	12,061,259	4,363,293	663,431	7,034,535	41.7%
Addition to / (use of) reserves	(538,911)	69,353	(663,431)		
TOTAL FOR ALL FUNDS					
Revenue	206,045,957	63,067,416	-	142,978,540	30.6%
Expenditures	216,088,564	66,716,331	10,858,740	138,513,493	35.9%
Addition to / (use of) reserves	(10,042,607)	(3,648,915)	(10,858,740)		

*** It is City policy to adopt a balanced budget. In most cases, encumbrance balances exist at year-end. These encumbrance balances are obligations of each fund and must be reported at the beginning of each fiscal year. In addition, a corresponding appropriations entry must be made in order to accomodate the 'carried-over' encumbrance amount. Most differences between budgeted annual revenues and expenses are due to these encumbrance carryovers.*

CITY OF SANTA BARBARA
General Fund
Interim Statement of Budgeted and Actual Revenues
For the Four Months Ended October 31, 2009 (33.3% of Fiscal Year)

	Annual Budget	YTD Actual	Remaining Balance	Percent Received	Previous YTD
TAXES					
Sales and Use	18,479,524	4,159,233	14,320,291	22.5%	4,775,828
Property Taxes	23,860,000	1,073,651	22,786,349	4.5%	1,327,653
Utility Users Tax	7,242,000	2,345,641	4,896,359	32.4%	2,384,565
Transient Occupancy Tax	12,027,000	4,987,184	7,039,816	41.5%	5,917,590
Franchise Fees	2,976,000	889,920	2,086,080	29.9%	888,457
Business License	2,273,300	517,399	1,755,901	22.8%	516,040
Real Property Transfer Tax	325,800	121,627	204,173	37.3%	119,174
Total	67,183,624	14,094,656	53,088,968	21.0%	15,929,306
LICENSES & PERMITS					
Licenses & Permits	179,000	57,443	121,557	32.1%	65,262
Total	179,000	57,443	121,557	32.1%	65,262
FINES & FORFEITURES					
Parking Violations	2,582,774	866,524	1,716,250	33.6%	793,165
Library Fines	110,000	40,277	69,723	36.6%	38,956
Municipal Court Fines	150,000	47,024	102,976	31.3%	63,912
Other Fines & Forfeitures	100,000	56,650	43,350	56.7%	-
Total	2,942,774	1,010,474	1,932,300	34.3%	896,033
USE OF MONEY & PROPERTY					
Investment Income	941,951	405,745	536,206	43.1%	574,311
Rents & Concessions	406,436	138,817	267,619	34.2%	135,853
Total	1,348,387	544,562	803,825	40.4%	710,163
INTERGOVERNMENTAL					
Grants	2,195,577	149,544	2,046,033	6.8%	1,232,186
Vehicle License Fees	200,000	134,978	65,022	67.5%	140,864
Total	2,395,577	284,522	2,111,055	11.9%	1,373,051
FEES & SERVICE CHARGES					
Finance	858,930	273,687	585,243	31.9%	269,105
Community Development	4,425,717	1,636,595	2,789,122	37.0%	1,499,363
Recreation	2,412,579	793,881	1,618,698	32.9%	878,701
Public Safety	550,543	136,545	413,998	24.8%	117,028
Public Works	4,608,873	1,756,470	2,852,403	38.1%	1,373,185
Library	775,452	366,922	408,530	47.3%	12,894
Reimbursements	5,809,367	1,846,369	3,962,998	31.8%	1,650,977
Total	19,441,461	6,810,469	12,630,992	35.0%	5,801,252
OTHER MISCELLANEOUS REVENUES					
Miscellaneous	1,513,987	514,417	999,570	34.0%	2,035,198
Indirect Allocations	7,238,105	2,484,036	4,754,069	34.3%	2,230,974
Operating Transfers-In	2,405,712	334,976	2,070,736	13.9%	430,600
Total	11,157,804	3,333,430	7,824,374	29.9%	4,696,772
TOTAL REVENUES	104,648,627	26,135,556	78,513,071	25.0%	29,471,838

CITY OF SANTA BARBARA
General Fund
Interim Statement of Appropriations, Expenditures and Encumbrances
For the Three Months Ended September 30, 2009 (25% of Fiscal Year)

	Annual Budget	YTD Actual	Encum-brances	** Remaining Balance	YTD Expended and Encumbered	Previous YTD
GENERAL GOVERNMENT						
Mayor & City Council						
MAYOR	747,750	242,236	2,493	503,021	32.7%	
Total	747,750	242,236	2,493	503,021	32.7%	272,627
City Attorney						
CITY ATTORNEY	2,099,358	724,002	-	1,375,356	34.5%	
Total	2,099,358	724,002	-	1,375,356	34.5%	731,778
Administration						
CITY ADMINISTRATOR	1,351,840	487,381	2,493	861,966	36.2%	
LABOR RELATIONS	228,570	67,957	-	160,613	29.7%	
CITY TV	440,943	139,799	36,604	264,540	40.0%	
Total	2,021,353	695,137	39,097	1,287,119	36.3%	747,278
Administrative Services						
CITY CLERK	773,167	223,743	155,460	393,964	49.0%	
HUMAN RESOURCES	1,190,764	363,942	21,477	805,345	32.4%	
ADMIN SVCS-EMPLOYEE DEVELOPMENT	182,921	48,497	-	134,424	26.5%	
Total	2,146,852	636,182	176,936	1,333,734	37.9%	634,479
Finance						
ADMINISTRATION	682,555	299,931	10,995	371,629	45.6%	
TREASURY	384,702	148,363	2,800	233,539	39.3%	
CASHIERING & COLLECTION	427,287	143,808	1,993	281,486	34.1%	
LICENSES & PERMITS	387,383	131,353	-	256,030	33.9%	
BUDGET MANAGEMENT	353,511	135,060	-	218,451	38.2%	
ACCOUNTING	405,390	124,750	12,703	267,938	33.9%	
PAYROLL	273,371	90,128	-	183,243	33.0%	
ACCOUNTS PAYABLE	210,859	68,866	-	141,993	32.7%	
CITY BILLING & CUSTOMER SERVICE	560,542	150,732	-	409,810	26.9%	
PURCHASING	653,082	216,297	3,071	433,714	33.6%	
CENTRAL STORES	183,803	60,662	-	123,141	33.0%	
MAIL SERVICES	96,326	30,792	-	65,534	32.0%	
Total	4,618,811	1,600,743	31,562	2,986,507	35.3%	1,677,800
TOTAL GENERAL GOVERNMENT	11,634,124	3,898,299	250,088	7,485,737	35.7%	4,063,962
PUBLIC SAFETY						
Police						
CHIEF'S STAFF	1,160,176	398,922	-	761,254	34.4%	
SUPPORT SERVICES	575,931	189,033	4,029	382,869	33.5%	
RECORDS	1,396,802	458,083	11,835	926,884	33.6%	
COMMUNITY SVCS	1,063,530	362,761	4,925	695,844	34.6%	
CRIME ANALYSIS	90,584	8,561	-	82,023	9.5%	
PROPERTY ROOM	125,326	43,537	1,034	80,755	35.6%	

CITY OF SANTA BARBARA
General Fund
Interim Statement of Appropriations, Expenditures and Encumbrances
For the Three Months Ended September 30, 2009 (25% of Fiscal Year)

	Annual Budget	YTD Actual	Encum-brances	** Remaining Balance	YTD Expended and Encumbered	Previous YTD
PUBLIC SAFETY						
Police						
TRNG/RECRUITMENT	381,881	184,745	-	197,136	48.4%	
RANGE	879,439	298,588	32,981	547,870	37.7%	
BEAT COORDINATORS	801,812	156,578	-	645,234	19.5%	
INFORMATION TECHNOLOGY	1,118,502	437,390	85,530	595,582	46.8%	
INVESTIGATIVE DIVISION	4,513,258	1,456,238	4,040	3,052,980	32.4%	
CRIME LAB	222,370	43,113	-	179,257	19.4%	
PATROL DIVISION	12,629,310	4,246,564	150,467	8,232,279	34.8%	
TRAFFIC	1,330,706	374,454	1,650	954,602	28.3%	
SPECIAL EVENTS	986,472	654,969	-	331,503	66.4%	
TACTICAL PATROL FORCE	1,131,685	390,252	-	741,433	34.5%	
STREET SWEEPING ENFORCEMENT	236,362	86,519	-	149,843	36.6%	
NIGHT LIFE ENFORCEMENT	458,400	122,112	-	336,288	26.6%	
PARKING ENFORCEMENT	902,337	283,105	27,800	591,432	34.5%	
CCC	2,383,022	706,075	641	1,676,306	29.7%	
ANIMAL CONTROL	462,772	189,004	-	273,768	40.8%	
Total	32,850,677	11,090,602	324,931	21,435,145	34.7%	11,875,276
Fire						
ADMINISTRATION	1,096,276	369,371	3,796	723,109	34.0%	
EMERGENCY SERVICES AND PUBLIC ED	218,086	74,278	1,345	142,463	34.7%	
PREVENTION	1,187,985	390,730	675	796,580	32.9%	
WILDLAND FIRE MITIGATION PROGRAM	191,083	57,750	24,229	109,103	42.9%	
OPERATIONS	17,188,401	5,775,380	67,132	11,345,889	34.0%	
ARFF	1,623,165	565,959	-	1,057,206	34.9%	
Total	21,504,996	7,233,468	97,177	14,174,351	34.1%	8,043,164
TOTAL PUBLIC SAFETY	54,355,673	18,324,070	422,108	35,609,496	34.5%	19,918,441
PUBLIC WORKS						
Public Works						
ADMINISTRATION	862,361	280,851	13,960	567,550	34.2%	
ENGINEERING SVCS	4,305,474	1,396,951	17,536	2,890,987	32.9%	
PUBLIC RT OF WAY MGMT	1,011,589	313,669	2,473	695,447	31.3%	
ENVIRONMENTAL PROGRAMS	514,158	90,084	55,014	369,060	28.2%	
Total	6,693,582	2,081,566	88,983	4,523,033	32.4%	2,304,645
TOTAL PUBLIC WORKS	6,693,582	2,081,566	88,983	4,523,033	32.4%	2,304,645
COMMUNITY SERVICES						
Parks & Recreation						
PRGM MGMT & BUS SVCS	524,868	186,752	-	338,116	35.6%	
FACILITIES	407,356	140,972	10,891	255,494	37.3%	
CULTURAL ARTS	429,832	150,073	28,420	251,339	41.5%	
YOUTH ACTIVITIES	752,636	266,007	6,369	480,260	36.2%	

CITY OF SANTA BARBARA
General Fund
Interim Statement of Appropriations, Expenditures and Encumbrances
For the Three Months Ended September 30, 2009 (25% of Fiscal Year)

	Annual Budget	YTD Actual	Encum-brances	** Remaining Balance	YTD Expended and Encumbered	Previous YTD
COMMUNITY SERVICES						
Parks & Recreation						
SR CITIZENS	722,733	255,333	564	466,835	35.4%	
AQUATICS	1,097,052	501,970	40,934	554,148	49.5%	
SPORTS	488,962	144,223	8,685	336,054	31.3%	
TENNIS	275,753	107,887	-	167,866	39.1%	
NEIGHBORHOOD & OUTREACH SERV	1,263,260	422,800	1,240	839,220	33.6%	
ADMINISTRATION	528,293	176,585	-	351,708	33.4%	
PROJECT MANAGEMENT TEAM	247,538	109,555	-	137,983	44.3%	
BUSINESS SERVICES	520,527	114,948	762	404,817	22.2%	
FACILITY & PROJECT MGT	1,012,354	422,356	1,721	588,277	41.9%	
GROUNDS MANAGEMENT	4,174,069	1,429,944	97,229	2,646,896	36.6%	
FORESTRY	1,182,344	347,332	13,784	821,228	30.5%	
BEACH MAINTENANCE	170,234	43,344	8,984	117,906	30.7%	
Total	13,797,811	4,821,008	222,689	8,754,114	36.6%	5,607,428
Library						
ADMINISTRATION	416,148	135,837	-	280,311	32.6%	
PUBLIC SERVICES	2,264,920	744,581	3,250	1,517,089	33.0%	
SUPPORT SERVICES	1,650,602	443,653	7,939	1,199,010	27.4%	
Total	4,331,670	1,324,071	11,189	2,996,410	30.8%	1,591,101
TOTAL COMMUNITY SERVICES	18,129,481	6,145,079	233,878	11,750,524	35.2%	7,198,529
COMMUNITY DEVELOPMENT						
Community Development						
ADMINISTRATION	491,949	168,608	755	322,585	34.4%	
ECON DEV	62,919	19,260	-	43,659	30.6%	
CITY ARTS ADVISORY PROGRAM	540,483	-	-	540,483	0.0%	
HUMAN SVCS	818,612	176,312	-	642,300	21.5%	
RDA	730,700	207,830	-	522,870	28.4%	
RDA HSG DEV	677,395	231,083	-	446,312	34.1%	
LR PLANNING/STUDIES	792,833	246,695	5,859	540,279	31.9%	
DEV & DESIGN REVIEW	1,069,406	328,845	8,707	731,854	31.6%	
ZONING	854,297	269,767	958	583,572	31.7%	
DESIGN REV & HIST PRESERVATN	957,682	289,672	43,384	624,626	34.8%	
SHO/ENVIRON REVIEW/TRAINING	704,462	224,234	7,599	472,629	32.9%	
BLDG PERMITS	1,018,740	323,565	1,291	693,884	31.9%	
RECORDS & ARCHIVES	593,922	165,455	19,749	408,718	31.2%	
PLAN CK & COUNTER SRV	1,268,494	415,251	327	852,916	32.8%	
Total	10,581,894	3,066,577	88,630	7,426,688	29.8%	3,510,426
TOTAL COMMUNITY DEVELOPMENT	10,581,894	3,066,577	88,630	7,426,688	29.8%	3,510,426

CITY OF SANTA BARBARA
General Fund
Interim Statement of Appropriations, Expenditures and Encumbrances
For the Three Months Ended September 30, 2009 (25% of Fiscal Year)

	Annual Budget	YTD Actual	Encum-brances	** Remaining Balance	YTD Expended and Encumbered	Previous YTD
NON-DEPARTMENTAL						
Non-Departmental						
DUES, MEMBERSHIPS, & LICENSES	22,272	-	-	22,272	0.0%	
COMMUNITY PROMOTIONS	1,706,580	951,791	-	754,789	55.8%	
SPECIAL PROJECTS	21,000	4,200	-	16,800	20.0%	
TRANSFERS OUT	43,500	43,500	-	-	100.0%	
DEBT SERVICE TRANSFERS	353,568	288,626	-	64,942	81.6%	
CAPITAL OUTLAY TRANSFER	573,170	16,667	-	556,503	2.9%	
APPROP. RESERVE	698,302	-	-	698,302	0.0%	
Total	3,418,392	1,304,784	-	2,113,608	38.2%	2,222,295
TOTAL NON-DEPARTMENTAL	3,418,392	1,304,784	-	2,113,608	38.2%	2,222,295
TOTAL EXPENDITURES	104,813,146	34,820,374	1,083,687	68,909,085	34.3%	39,218,296

*** The legal level of budgetary control is at the department level for the General Fund. Therefore, as long as the department as a whole is within budget, budgetary compliance has been achieved. The City actively monitors the budget status of each department and takes measures to address potential over budget situations before they occur.*

For Enterprise and Internal Service Funds, the legal level of budgetary control is at the fund level. The City also monitors and addresses these fund types for potential over budget situations.

CITY OF SANTA BARBARA
Special Revenue Funds
Interim Statement of Revenues and Expenditures
For the Four Months Ended October 31, 2009 (33.3% of Fiscal Year)

	Annual Budget	YTD Actual	Encum-brances	Remaining Balance	Percent of Budget
TRAFFIC SAFETY FUND					
Revenue	515,000	160,930	-	354,070	31.2%
Expenditures	515,000	158,340	-	356,660	30.7%
Revenue Less Expenditures	-	2,590	-	(2,590)	
CREEK RESTORATION/WATER QUALITY IMPRVMT					
Revenue	2,610,100	1,091,521	-	1,518,579	41.8%
Expenditures	3,386,420	885,763	376,700	2,123,956	37.3%
Revenue Less Expenditures	(776,320)	205,758	(376,700)	(605,377)	
SOLID WASTE PROGRAM					
Revenue	18,614,209	5,740,868	-	12,873,341	30.8%
Expenditures	18,713,657	5,999,644	143,820	12,570,193	32.8%
Revenue Less Expenditures	(99,448)	(258,776)	(143,820)	303,148	
COMM.DEVELOPMENT BLOCK GRANT					
Revenue	3,244,916	756,961	-	2,487,955	23.3%
Expenditures	3,121,049	255,775	27,129	2,838,145	9.1%
Revenue Less Expenditures	123,867	501,186	(27,129)	(350,190)	
COUNTY LIBRARY					
Revenue	1,703,932	544,554	-	1,159,378	32.0%
Expenditures	1,765,938	553,554	20,137	1,192,247	32.5%
Revenue Less Expenditures	(62,006)	(9,000)	(20,137)	(32,869)	
STREETS FUND					
Revenue	9,570,982	3,059,596	-	6,511,386	32.0%
Expenditures	14,093,195	3,713,554	886,457	9,493,184	32.6%
Revenue Less Expenditures	(4,522,213)	(653,958)	(886,457)	(2,981,798)	
MEASURE "D"					
Revenue	4,884,000	1,259,683	-	3,624,317	25.8%
Expenditures	9,067,069	863,822	2,842,108	5,361,139	40.9%
Revenue Less Expenditures	(4,183,069)	395,861	(2,842,108)	(1,736,822)	

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenses
For the Four Months Ended October 31, 2009 (33.3% of Fiscal Year)

WATER OPERATING FUND

	Annual Budget	YTD Actual	Encum-brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Water Sales - Metered	29,850,000	11,383,295	-	18,466,705	38.1%	11,534,840
Service Charges	385,000	138,727	-	246,273	36.0%	131,140
Cater JPA Treatment Charges	2,200,000	1,000,014	-	1,199,986	45.5%	776,123
Licenses & Permits	(2,500)	-	-	(2,500)	0.0%	-
Investment Income	1,008,000	398,643	-	609,357	39.5%	535,277
Grants	36,098	24,243	-	11,855	67.2%	-
Reimbursements	18,000	-	-	18,000	0.0%	-
Miscellaneous	693,698	235,501	-	458,197	33.9%	147,277
TOTAL REVENUES	34,188,296	13,180,422	-	21,007,873	38.6%	13,124,657
EXPENSES						
Salaries & Benefits	7,599,922	2,426,953	-	5,172,969	31.9%	2,342,918
Materials, Supplies & Services	10,540,950	2,408,540	2,974,178	5,158,232	51.1%	2,805,383
Special Projects	646,774	28,670	73,787	544,317	15.8%	38,869
Water Purchases	7,776,465	2,527,261	43,702	5,205,502	33.1%	2,531,278
Debt Service	5,094,672	1,758,617	-	3,336,055	34.5%	1,734,144
Capital Outlay Transfers	5,302,492	1,767,497	-	3,534,995	33.3%	2,720,743
Equipment	197,459	32,612	9,304	155,543	21.2%	3,425
Capitalized Fixed Assets	109,900	639	1,874	107,388	2.3%	7,516
Other	-	54	-	(54)	100.0%	20,328
Appropriated Reserve	150,000	-	-	150,000	0.0%	-
TOTAL EXPENSES	37,418,635	10,950,844	3,102,845	23,364,945	37.6%	12,204,604

NOTE - These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenses
For the Four Months Ended October 31, 2009 (33.3% of Fiscal Year)

WASTEWATER OPERATING FUND

	Annual Budget	YTD Actual	Encum-brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Service Charges	14,010,000	4,809,727	-	9,200,273	34.3%	4,749,348
Fees	410,000	231,971	-	178,029	56.6%	192,614
Investment Income	325,000	140,641	-	184,359	43.3%	188,662
Miscellaneous	83,850	9,800	-	74,050	11.7%	95,606
TOTAL REVENUES	14,828,850	5,192,140	-	9,636,710	35.0%	5,226,229
EXPENSES						
Salaries & Benefits	5,125,324	1,648,364	-	3,476,960	32.2%	1,634,952
Materials, Supplies & Services	5,733,089	1,485,402	1,580,102	2,667,585	53.5%	1,378,324
Special Projects	711,367	246,541	-	464,826	34.7%	381,376
Transfers-Out	65,000	21,667	-	43,333	33.3%	-
Debt Service	1,354,888	2,630	-	1,352,258	0.2%	2,646
Capital Outlay Transfers	2,827,188	942,396	-	1,884,792	33.3%	812,107
Equipment	50,167	470	5,922	43,775	12.7%	7,342
Capitalized Fixed Assets	53,265	639	1,874	50,752	4.7%	9,582
Appropriated Reserve	150,000	-	-	150,000	0.0%	-
TOTAL EXPENSES	16,070,288	4,348,110	1,587,897	10,134,281	36.9%	4,226,329

NOTE - These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenses
For the Four Months Ended October 31, 2009 (33.3% of Fiscal Year)

DOWNTOWN PARKING

	Annual Budget	YTD Actual	Encum-brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Improvement Tax	875,000	334,607	-	540,393	38.2%	337,439
Parking Fees	5,552,550	1,901,027	-	3,651,523	34.2%	1,764,861
Investment Income	202,500	75,920	-	126,580	37.5%	125,841
Rents & Concessions	23,740	23,740	-	-	100.0%	-
Reimbursements	50,000	-	-	50,000	0.0%	-
Miscellaneous	15,000	1,000	-	14,000	6.7%	56,949
Operating Transfers-In	43,500	43,500	-	-	100.0%	43,500
TOTAL REVENUES	6,762,290	2,379,794	-	4,382,496	35.2%	2,328,590
EXPENSES						
Salaries & Benefits	3,724,389	1,218,998	-	2,505,391	32.7%	1,220,749
Materials, Supplies & Services	1,978,278	482,775	163,821	1,331,683	32.7%	557,073
Special Projects	846,410	307,701	438,706	100,002	88.2%	260,757
Transfers-Out	312,621	104,207	-	208,414	33.3%	-
Capital Outlay Transfers	1,258,760	419,587	-	839,173	33.3%	34,078
Equipment	25,000	-	2,800	22,200	11.2%	88
Capitalized Fixed Assets	-	-	3,500	(3,500)	100.0%	411,731
Appropriated Reserve	50,000	-	-	50,000	0.0%	-
TOTAL EXPENSES	8,195,457	2,533,268	608,827	5,053,363	38.3%	2,484,474

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenses
For the Four Months Ended October 31, 2009 (33.3% of Fiscal Year)

AIRPORT OPERATING FUND

	Annual Budget	YTD Actual	Encum-brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Leases - Commercial / Industrial	3,893,750	1,392,494	-	2,501,256	35.8%	1,451,241
Leases - Terminal	4,853,050	1,609,144	-	3,243,906	33.2%	1,674,977
Leases - Non-Commerical Aviation	1,075,875	376,836	-	699,039	35.0%	414,601
Leases - Commerical Aviation	2,113,451	702,730	-	1,410,721	33.3%	786,544
Investment Income	310,000	117,552	-	192,448	37.9%	196,314
Miscellaneous	194,552	31,851	-	162,701	16.4%	122,500
TOTAL REVENUES	12,440,678	4,230,609	-	8,210,069	34.0%	4,646,176
EXPENSES						
Salaries & Benefits	4,780,946	1,575,525	-	3,205,421	33.0%	1,556,728
Materials, Supplies & Services	6,211,961	1,837,675	710,026	3,664,259	41.0%	2,077,767
Special Projects	742,838	165,999	-	576,839	22.3%	140,856
Transfers-Out	7,351	-	-	7,351	0.0%	-
Capital Outlay Transfers	675,240	217,023	-	458,217	32.1%	1,015,556
Equipment	34,212	17,687	-	16,525	51.7%	23,007
Capitalized Fixed Assets	-	-	-	-	100.0%	38,214
Appropriated Reserve	271,045	-	-	271,045	0.0%	-
TOTAL EXPENSES	12,723,593	3,813,909	710,026	8,199,657	35.6%	4,852,128

NOTE - These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenses
For the Four Months Ended October 31, 2009 (33.3% of Fiscal Year)

GOLF COURSE FUND

	Annual Budget	YTD Actual	Encum-brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Fees & Card Sales	1,802,397	517,078	-	1,285,319	28.7%	693,553
Investment Income	28,300	13,431	-	14,869	47.5%	17,499
Rents & Concessions	299,741	111,733	-	188,008	37.3%	109,470
Miscellaneous	250,000	3,134	-	246,866	1.3%	25,126
TOTAL REVENUES	2,380,438	645,376	-	1,735,062	27.1%	845,649
EXPENSES						
Salaries & Benefits	1,137,368	384,799	-	752,569	33.8%	411,500
Materials, Supplies & Services	577,822	196,331	127,099	254,392	56.0%	267,997
Special Projects	31,190	976	9,524	20,690	33.7%	34,918
Debt Service	219,058	156,529	-	62,529	71.5%	155,696
Capital Outlay Transfers	303,553	184	-	303,369	0.1%	17,070
Equipment	8,400	-	-	8,400	0.0%	-
Capitalized Fixed Assets	507,767	217,776	288,834	1,157	99.8%	455,671
TOTAL EXPENSES	2,785,158	956,595	425,458	1,403,105	49.6%	1,342,851

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenses
For the Four Months Ended October 31, 2009 (33.3% of Fiscal Year)

INTRA-CITY SERVICE FUND

	Annual Budget	YTD Actual	Encum-brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Vehicle Maintenance Charges	-	-	-	-	100.0%	887,486
Work Orders - Bldg Maint.	3,808,159	1,135,518	-	2,672,641	29.8%	1,282,940
Grants	818,200	818,200	-	-	100.0%	-
Service Charges	1,728,719	576,240	-	1,152,479	33.3%	560,066
Miscellaneous	-	41	-	(41)	100.0%	94,441
Operating Transfers-In	65,000	21,667	-	43,333	33.3%	-
TOTAL REVENUES	6,420,078	2,551,665	-	3,868,413	39.7%	2,824,933
EXPENSES						
Salaries & Benefits	3,208,250	985,921	-	2,222,329	30.7%	1,442,642
Materials, Supplies & Services	919,270	285,394	87,444	546,431	40.6%	810,430
Special Projects	1,686,832	304,902	490,630	891,301	47.2%	406,946
Capital Outlay Transfers	829	276	-	553	33.3%	1,603
Equipment	23,000	-	-	23,000	0.0%	7,367
Capitalized Fixed Assets	843,724	383	77,091	766,250	9.2%	36,170
TOTAL EXPENSES	6,681,905	1,576,876	655,165	4,449,864	33.4%	2,705,157

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenses
For the Four Months Ended October 31, 2009 (33.3% of Fiscal Year)

FLEET REPLACEMENT FUND

	Annual Budget	YTD Actual	Encum-brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Vehicle Rental Charges	1,343,020	447,673	-	895,347	33.3%	617,433
Investment Income	194,000	72,415	-	121,585	37.3%	101,222
Rents & Concessions	242,848	80,949	-	161,899	33.3%	89,414
Miscellaneous	-	33,885	-	(33,885)	100.0%	24,542
TOTAL REVENUES	1,779,868	634,923	-	1,144,945	35.7%	832,610
EXPENSES						
Salaries & Benefits	162,092	51,285	-	110,807	31.6%	39,193
Materials, Supplies & Services	1,120	373	-	747	33.3%	366
Capitalized Fixed Assets	3,658,662	308,308	1,135,846	2,214,509	39.5%	550,591
TOTAL EXPENSES	3,821,874	359,966	1,135,846	2,326,062	39.1%	590,150

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenses
For the Four Months Ended October 31, 2009 (33.3% of Fiscal Year)

FLEET MAINTENANCE FUND

	Annual Budget	YTD Actual	Encum-brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Vehicle Maintenance Charges	2,515,663	838,554	-	1,677,109	33.3%	-
Miscellaneous	50,000	-	-	50,000	0.0%	-
TOTAL REVENUES	2,565,663	838,554	-	1,727,109	32.7%	-
EXPENSES						
Salaries & Benefits	1,224,737	387,463	-	837,274	31.6%	-
Materials, Supplies & Services	1,367,766	303,102	149,853	914,811	33.1%	-
Special Projects	60,625	4,781	20,753	35,091	42.1%	-
Equipment	14,000	-	-	14,000	0.0%	-
TOTAL EXPENSES	2,667,128	695,346	170,606	1,801,177	32.5%	-

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenses
For the Four Months Ended October 31, 2009 (33.3% of Fiscal Year)

SELF INSURANCE TRUST FUND

	** Annual Budget	YTD Actual	Encum-brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Insurance Premiums	2,950,613	983,538	-	1,967,075	33.3%	1,065,813
Workers' Compensation Premiums	2,482,928	827,643	-	1,655,285	33.3%	535,834
OSH Charges	302,518	100,839	-	201,679	33.3%	97,447
Investment Income	337,615	116,339	-	221,276	34.5%	158,767
Miscellaneous	-	2,403	-	(2,403)	100.0%	321,413
Accel - Return of Premium	-	-	-	-	100.0%	750,000
TOTAL REVENUES	6,073,674	2,030,761	-	4,042,913	33.4%	2,929,275
EXPENSES						
Salaries & Benefits	600,672	182,403	-	418,269	30.4%	170,132
Materials, Supplies & Services	5,590,392	1,416,526	246,570	3,927,296	29.7%	1,270,861
Transfers-Out	-	-	-	-	100.0%	1,589,853
Capital Outlay Transfers	1,105	368	-	737	33.3%	2,137
Equipment	4,000	-	-	4,000	0.0%	-
Appropriated Reserve	23,671	-	-	23,671	0.0%	-
TOTAL EXPENSES	6,219,840	1,599,298	246,570	4,373,973	29.7%	3,032,983

*** The Self Insurance Trust Fund is an internal service fund of the City, which accounts for the cost of providing workers' compensation, property and liability insurance as well as unemployment insurance and certain self-insured employee benefits on a city-wide basis. Internal Service Funds charge other funds for the cost of providing their specific services.*

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenses
For the Four Months Ended October 31, 2009 (33.3% of Fiscal Year)

INFORMATION SYSTEMS ICS FUND

	Annual Budget	YTD Actual	Encum-brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Service charges	2,435,147	814,743	-	1,620,404	33.5%	849,608
Miscellaneous	-	226	-	(226)	100.0%	10,526
TOTAL REVENUES	2,435,147	814,970	-	1,620,177	33.5%	860,133
EXPENSES						
Salaries & Benefits	1,537,067	497,753	-	1,039,314	32.4%	569,343
Materials, Supplies & Services	598,350	179,307	268,813	150,230	74.9%	211,451
Special Projects	1,700	834	3,377	(2,512)	247.7%	164
Capital Outlay Transfers	-	-	-	-	100.0%	28,333
Equipment	408,269	20,558	196,191	191,519	53.1%	974
Capitalized Fixed Assets	-	-	-	-	100.0%	488
Appropriated Reserve	84,895	-	-	84,895	0.0%	-
TOTAL EXPENSES	2,630,280	698,452	468,381	1,463,447	44.4%	810,754

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenses
For the Four Months Ended October 31, 2009 (33.3% of Fiscal Year)

WATERFRONT FUND

	Annual Budget	YTD Actual	Encum-brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Leases - Commercial	1,482,056	567,948	-	914,108	38.3%	617,486
Leases - Food Service	2,393,380	883,946	-	1,509,434	36.9%	950,158
Slip Rental Fees	3,676,785	1,218,927	-	2,457,858	33.2%	1,178,313
Visitors Fees	700,000	224,097	-	475,903	32.0%	194,761
Slip Transfer Fees	250,000	204,875	-	45,125	82.0%	122,075
Parking Revenue	1,885,098	805,893	-	1,079,205	42.8%	642,062
Wharf Parking	268,749	93,162	-	175,587	34.7%	85,433
Other Fees & Charges	364,909	125,077	-	239,832	34.3%	130,105
Investment Income	125,000	105,643	-	19,357	84.5%	140,678
Rents & Concessions	279,322	106,568	-	172,755	38.2%	95,681
Miscellaneous	97,049	96,510	-	539	99.4%	137,534
TOTAL REVENUES	11,522,348	4,432,646	-	7,089,702	38.5%	4,294,286
EXPENSES						
Salaries & Benefits	5,530,336	1,868,504	-	3,661,832	33.8%	1,850,525
Materials, Supplies & Services	3,416,967	971,513	657,173	1,788,281	47.7%	1,020,566
Special Projects	122,559	31,675	3,000	87,884	28.3%	10,168
Debt Service	1,673,572	1,109,556	-	564,016	66.3%	1,097,209
Capital Outlay Transfers	1,131,381	377,127	-	754,254	33.3%	351,666
Equipment	86,445	4,918	3,258	78,269	9.5%	9,278
Appropriated Reserve	100,000	-	-	100,000	0.0%	-
TOTAL EXPENSES	12,061,259	4,363,293	663,431	7,034,535	41.7%	4,339,413

NOTE - These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.